

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert B. Nilsen, Christopher Alan Barnett, and Nicholas John Phillips

Application No. 09/901,199

Group: 1732

Filed: July 9, 2001

Examiner: Mathieu D. Vargot

Confirmation No. 6531

For: TEXTURED RETROREFLECTIVE PRISM STRUCTURES AND
MOLDS FOR FORMING SAME

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:

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MARIANNE LENTINI

Typed or printed name of person signing certificate

TRANSMITTAL OF ISSUE FEE PAYMENT AND
SMALL ENTITY STATEMENT

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This application has claimed the benefit of small entity status, and a new determination has been made that small entity status still applies. Therefore, in addition to payment of the Issue Fee and Publication Fee in the total amount of \$965.00, which is enclosed herewith, enclosed is a newly executed Small Entity Statement.

Respectfully submitted,

HAMILTON, BROOK, SMITH &
REYNOLDS, P.C.

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Concord, Massachusetts 01742-9133

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a))-SMALL BUSINESS CONCERN

DOCKET NUMBER: 1571.1058-010

Applicant or Patentee: Robert B. Nilsen, Christopher Alan Barnett and Nicholas John Phillips
Application or Patent No. 09/901,199
Filed or Issued: July 9, 2001
Title: Textured Retroreflective Prism Structures and Molds for Forming Same

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Reflexite Corporation
ADDRESS OF SMALL BUSINESS CONCERN 120 Darling Drive
Avon, Connecticut 06001-4217

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416 or you may call 202-205-6618.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each person, concern or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1), or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Steven M. Scott

TITLE OF PERSON IF OTHER THAN OWNER Vice President, Technology

ADDRESS OF PERSON SIGNING 120 Darling Drive, Avon, Connecticut 06001-4217

SIGNATURE  DATE 5/5/04